

Dark Odyssey 2020: Gravitational-Wave Probes of Dark Universe,
Jan 4-7, 2020, SNU, Korea

Primordial Black Hole and Stochastic Gravitational Wave Induced by Scalar Perturbations

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**Institute of Theoretical Physics
Chinese Academy of Sciences**

RGC, S. Pi and M. Sasaki, arXiv: 1810.11000; PRL 122, 201101 (2019)

RGC, S. Pi, S.J. Wang and X.Y. Yang, arXiv: 1901.10152; JCAP05, 013 (2019)

RGC, S. Pi, S.J. Wang and X.Y. Yang, arXiv: 1907.06372; JCAP 1910, 059 (2019)

RGC, S. Pi and M. Sasaki, arXiv: 1909.13728

RGC, Z.K. Guo, J. Liu, L. Liu and X.Y. Yang, arXiv: 1912.10437

Outline:

- 1) Introduction
- 2) GWs induced scalar perturbations
- 3) Resonant multiple peaks in the induced GWs
- 4) PTA Constraints on the induced gravitational waves
- 5) PBH and GWs from parametric amplification of curvature fluctuations

Standard cosmological model:

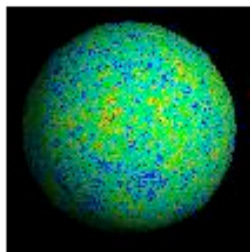
inflation $\oplus$ big bang $\oplus$ dark matter $\oplus$ dark energy

5% 28% 67%

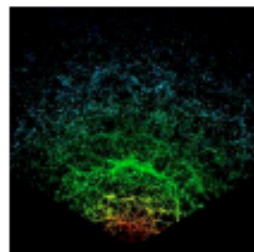
Challenges:

inflation ? dark matter ?
dark energy? general relativity?

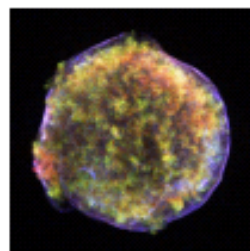
Probes:



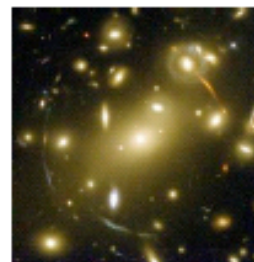
Microwave
background



Galaxy surveys



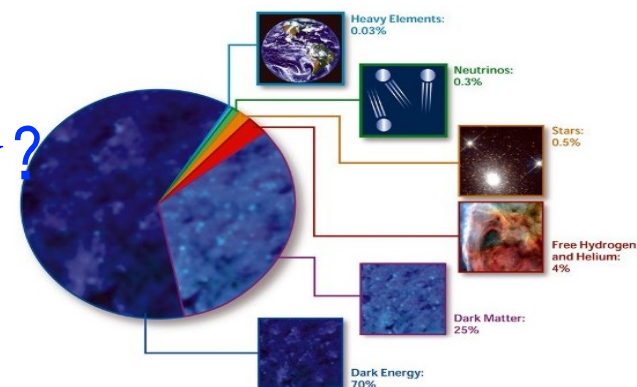
Supernovae Ia



Gravitational
lensing



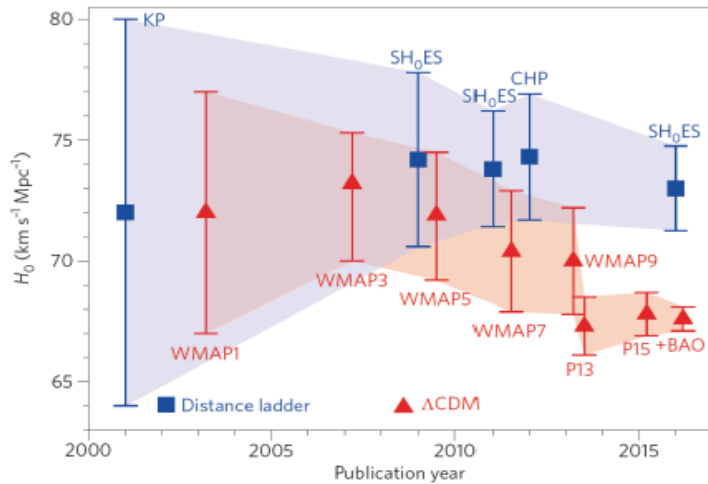
Galaxy clusters



Λ CDM model:

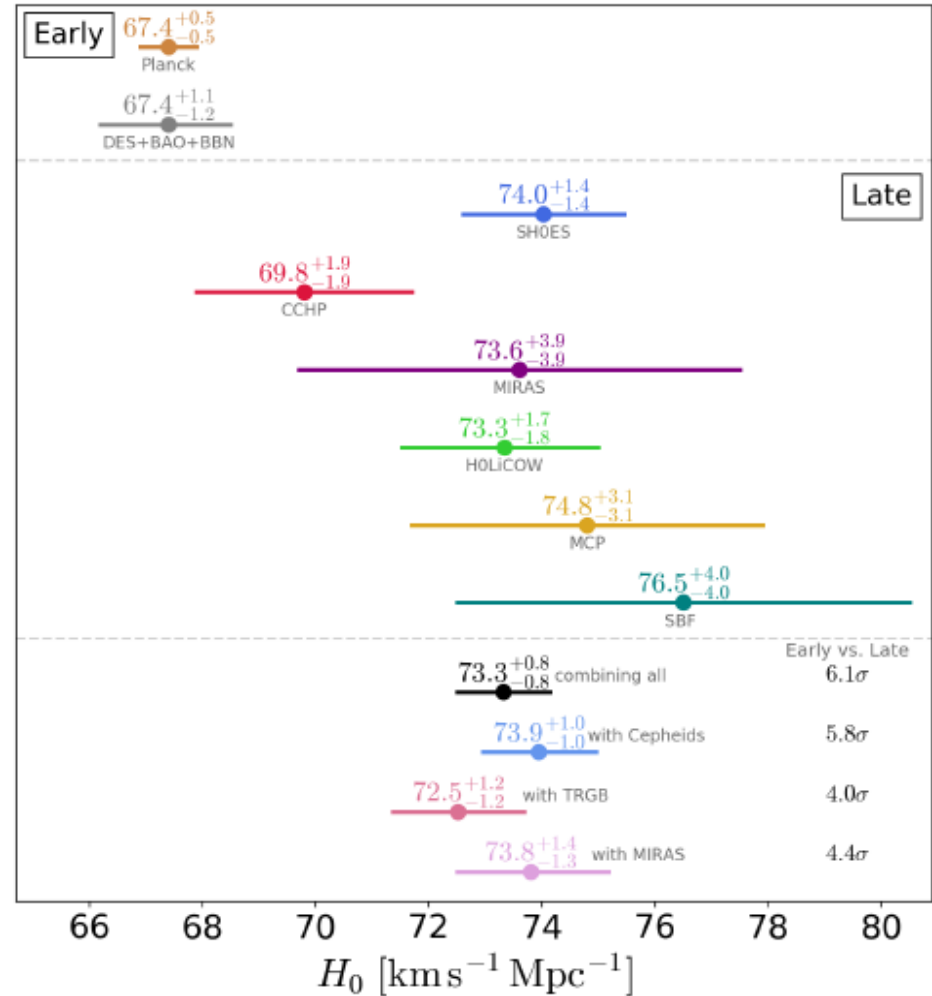
1907.10625

◆ H_0 tension?



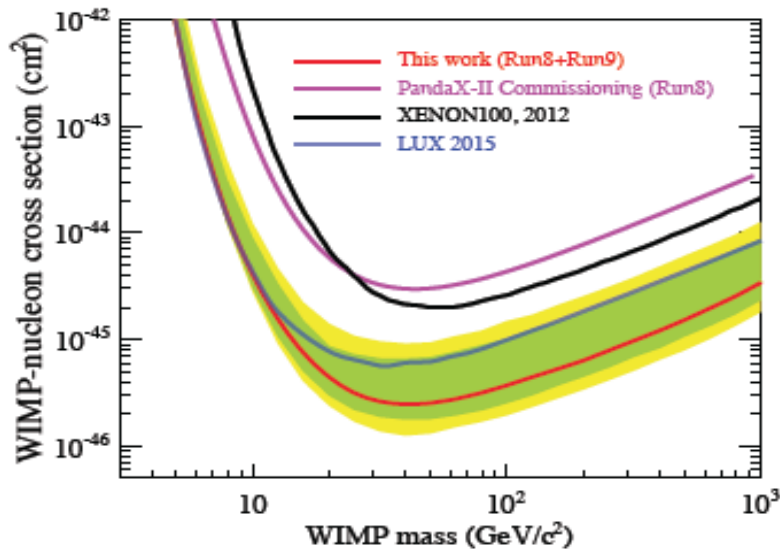
◆ σ_8

flat – Λ CDM

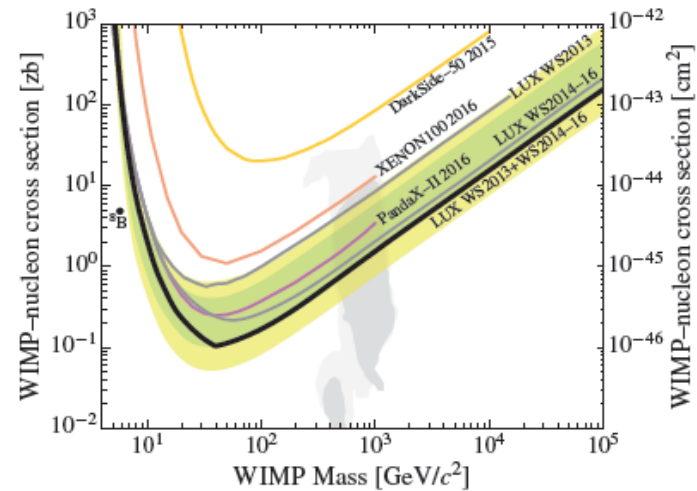


Λ CDM model:

◆ WIMP?



PandaX-II, PRL 117 (2016)121303



LUX, arXiv:1608.07648

◆ Collider & Non-indirect ?

[11] van Dokkum P. et al. A galaxy lacking dark matter. *Natur.* **555**, 629-632 (2018).

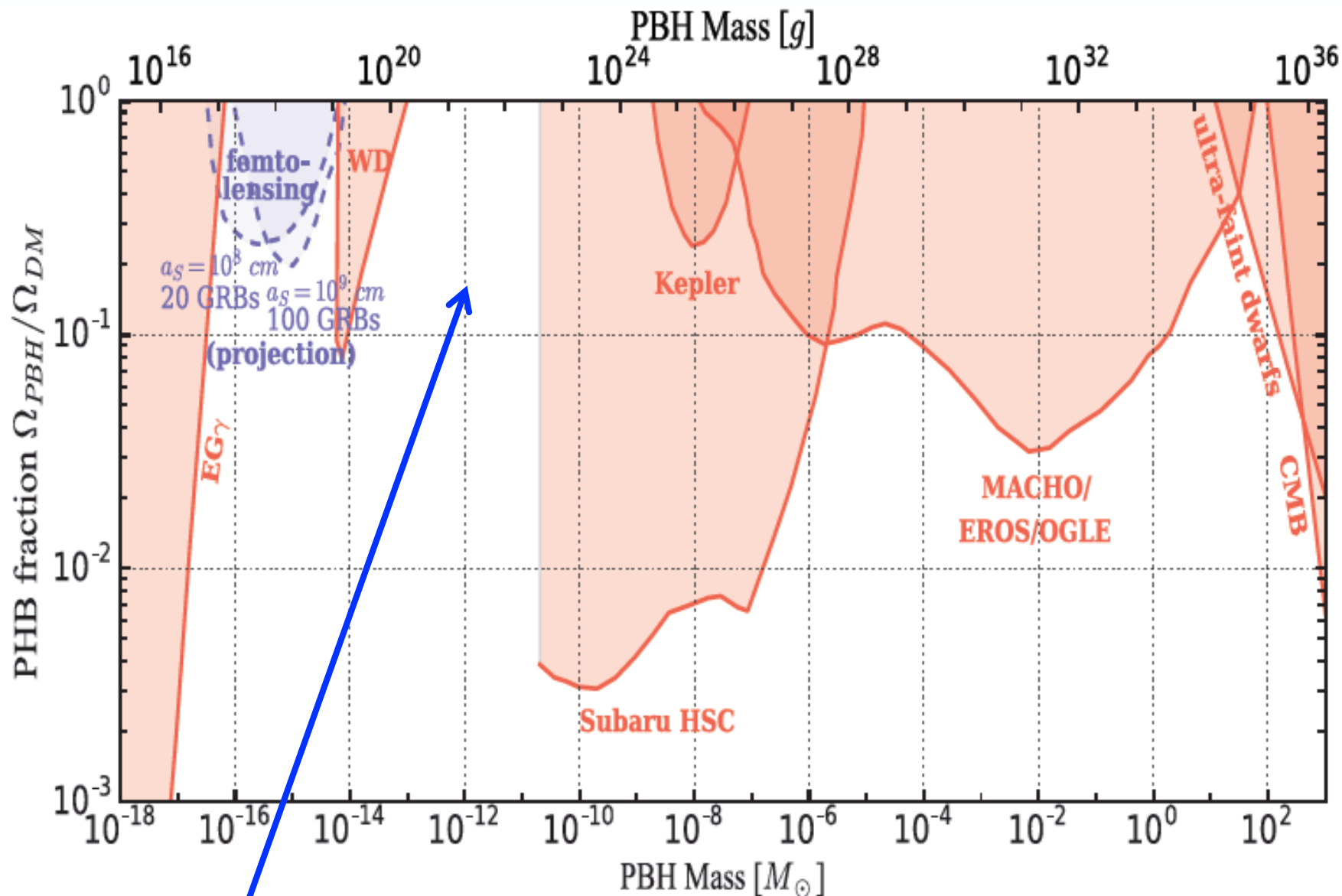
arXiv: 1908.00046, Nature astronomy, Nov, 2019

A population of dwarf galaxies deficient in dark matter

Qi Guo^{*1,2,3}; Huijie Hu^{1,2}; Zheng Zheng^{1,4}; ShiHong Liao^{1,3}; Wei Du¹; Shude Mao^{1,5,6}; Linhua Jiang⁷; Jing Wang⁷; Yingjie Peng⁷; Liang Gao^{1,2,3}; Jie Wang^{1,2,3}; Hong Wu^{1,2}

faintness of such systems in the past. Here we report 19 dwarf galaxies that could mainly consist of baryons up to radii well beyond r_e , where they are expected to be dominated by dark matter. 14 of them are isolated dwarf galaxies, free from the influence of nearby bright galaxies/AGNs and high dense environments. This result provides observational evidence that could challenge the formation theory of low-mass galaxies in the framework of standard cosmology.

Primordial black hole as a candidate of dark matter?



Inflation model and primordial gravitational wave?

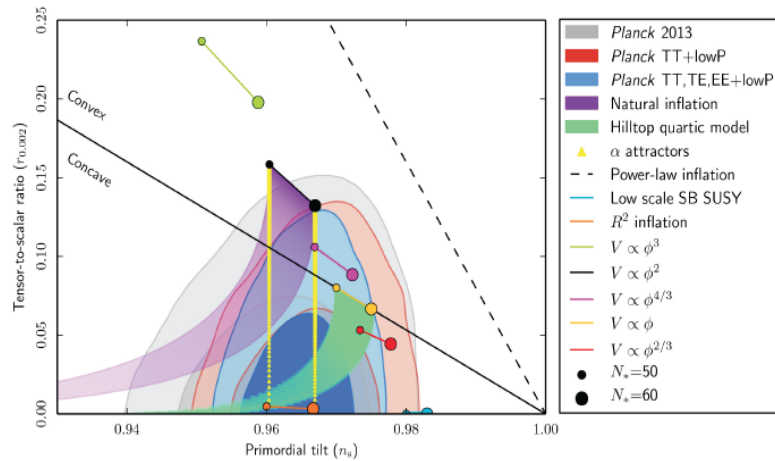
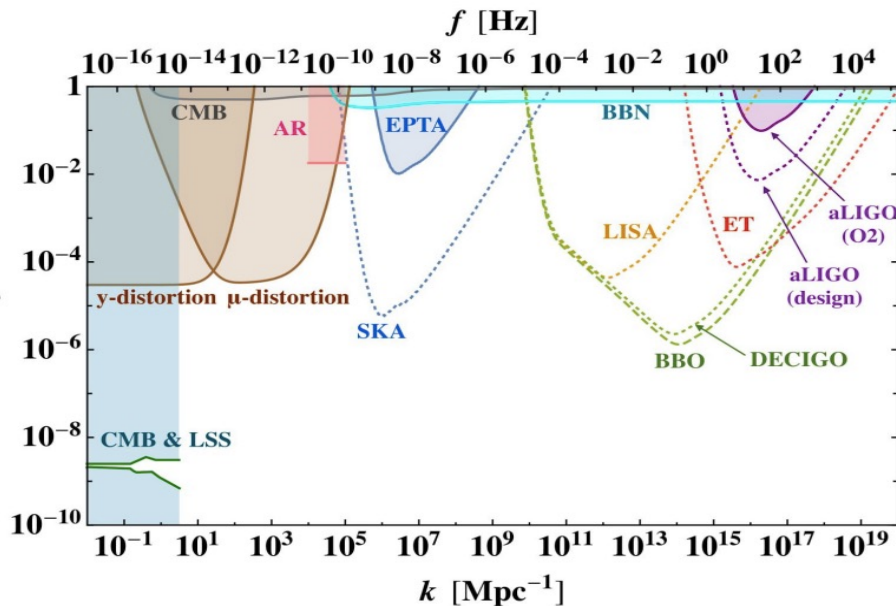
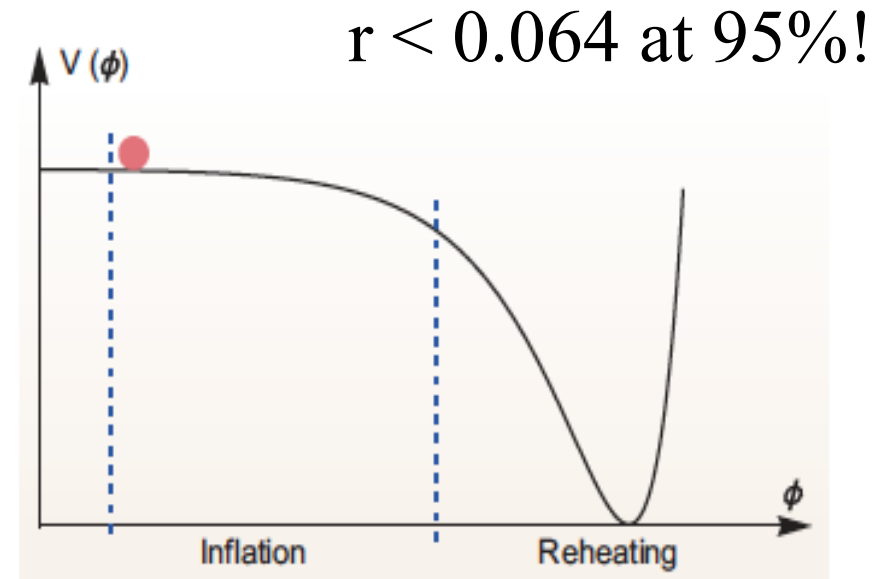


Figure 2: Marginalized joint 68% and 95% confidence level regions for n_s and $r_{0.002}$ from the Planck 2015 data, compared to the theoretical predictions of some inflationary models. This figure is quoted from [11].



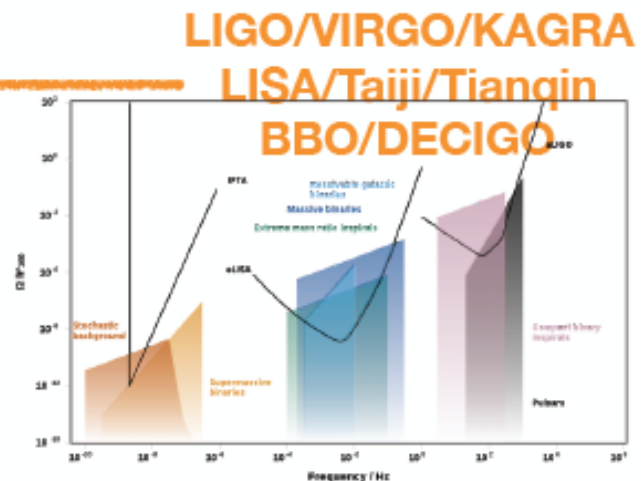
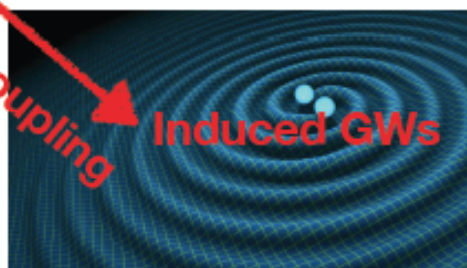
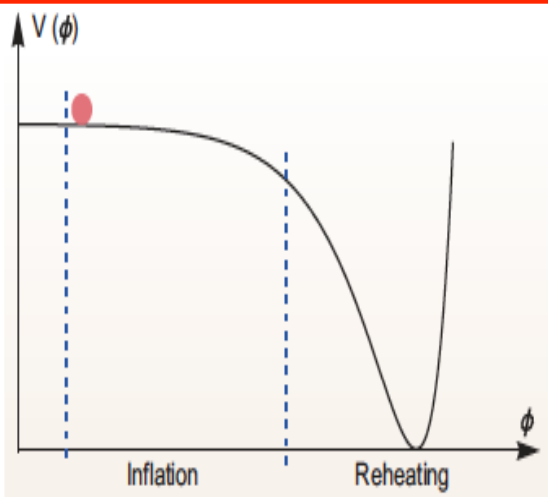
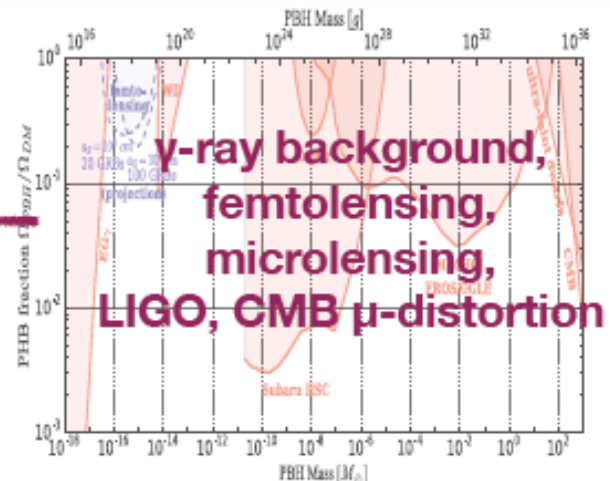
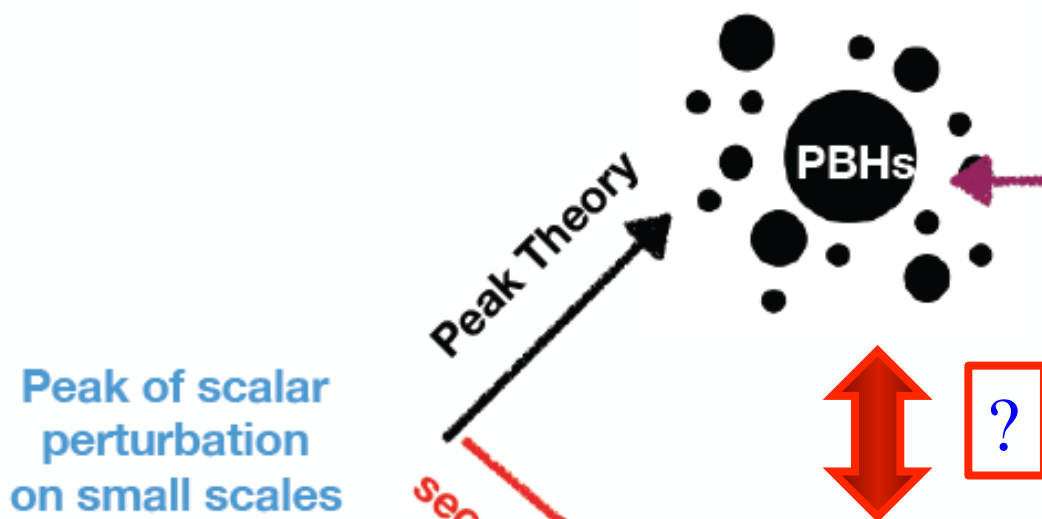
✧ What and When?

✧ Perturbations at small scales?

✧ TCC? $r < 6.8 \times 10^{-33}$
arXiv: 1909.11106

Inflation:

Induced GWs



2) Gravitational waves induced by scalar perturbations, R.G. Cai, S. Pi and M. Sasaki, arXiv: 1810.11000

The perturbed metric in the Newton gauge:

$$ds^2 = a^2[-(1 - 2\Phi) d\eta^2 + ((1 + 2\Phi)\delta_{ij} + h_{ij})dx^i dx^j] .$$

The equation of motion of tensor perturbation in the radiation dominated era:

$$u = |\mathbf{k} - \mathbf{l}|/k, \quad v = l/k$$

$$x = k\eta/\sqrt{3}.$$

$$\begin{aligned} & h''_{\mathbf{k}} + 2\mathcal{H}h'_{\mathbf{k}} + k^2 h_{\mathbf{k}} \\ &= 18 \int \frac{d^3l}{(2\pi)^{3/2}} \frac{l^2}{\sqrt{2}} \sin^2 \theta \begin{pmatrix} \cos 2\varphi \\ \sin 2\varphi \end{pmatrix} \Phi_{\mathbf{l}} \Phi_{\mathbf{k}-\mathbf{l}} \\ & \times \left[j_0(ux)j_0(vx) - 2\frac{j_1(ux)j_0(vx)}{ux} \right. \\ & \quad \left. - 2\frac{j_0(ux)j_1(vx)}{vx} + 6\frac{j_1(ux)j_1(vx)}{uvx^2} \right] . \end{aligned}$$

After solving $h_{\mathbf{k}}$, one can obtain the density parameter $\Omega_{\text{GW}}(k)$ defined as the energy density of the GW per unit logarithmic frequency normalized by the critical density

$$\Omega_{\text{GW}}(k) \equiv \frac{1}{12} \left(\frac{k}{H_a} \right)^2 \frac{k^3}{\pi^2} \overline{\langle h_{\mathbf{k}}(\eta) h_{\mathbf{k}}(\eta) \rangle},$$

where the overline means the time average.

The curvature perturbation in the comoving slices can be expressed in terms of the Gaussian part as

$$\mathcal{R}(\mathbf{x}) = \mathcal{R}_g(\mathbf{x}) + F_{\text{NL}} [\mathcal{R}_g^2(\mathbf{x}) - \langle \mathcal{R}_g^2(\mathbf{x}) \rangle], \quad \Phi = (2/3)\mathcal{R}$$

Then the two-point correlation function of $\Phi_{\mathbf{k}}$

$$\langle \Phi_{\mathbf{k}} \Phi_{\mathbf{p}} \rangle \sim \frac{4}{9} \left(P_{\mathcal{R}}(k) + 2F_{\text{NL}}^2 \int d^3l P_{\mathcal{R}}(|\mathbf{k} - \mathbf{l}|) P_{\mathcal{R}}(l) \right)$$

where we omitted an overall factor $(2\pi)^3 \delta^{(3)}(\mathbf{k} + \mathbf{p})$, and the Gaussian power spectrum is defined as $\langle \mathcal{R}_{g,\mathbf{k}} \mathcal{R}_{g,\mathbf{p}} \rangle = (2\pi)^3 P_{\mathcal{R}}(k) \delta^{(3)}(\mathbf{k} + \mathbf{p})$.

Now we consider a primordial curvature perturbation with a narrow peak at some specific scale k_* ,

$$P_{\mathcal{R}}(k) = \frac{\mathcal{A}_{\mathcal{R}}}{(2\pi)^{3/2} 2\sigma k_*^2} \exp \left(-\frac{(k - k_*)^2}{2\sigma^2} \right).$$

here the width $\sigma \ll k_*$,

The power spectrum of the tensor perturbation, up to the epoch of radiation-matter equality:

$$\Omega_{\text{GW}} = 6\mathcal{A}_{\mathcal{R}}^2 \frac{k^2}{2\pi\sigma^2} \left(\frac{k}{k_*}\right)^4 \int_0^\infty dv \int_{|1-v|}^{1+v} du uv \mathcal{T}(u, v) \\ \times \left[e^{-\frac{(vk-k_*)^2}{2\sigma^2}} + 2\mathcal{A}_{\mathcal{R}} F_{\text{NL}}^2 \frac{\sigma}{vk} \sqrt{\frac{\pi}{2}} \text{erf}\left(\frac{vk}{2\sigma}\right) \right] \\ \times \left[e^{-\frac{(uk-k_*)^2}{2\sigma^2}} + 2\mathcal{A}_{\mathcal{R}} F_{\text{NL}}^2 \frac{\sigma}{uk} \sqrt{\frac{\pi}{2}} \text{erf}\left(\frac{uk}{2\sigma}\right) \right]. \quad (7)$$

where the integral kernel

$$\mathcal{T}(u, v) = \frac{1}{4} \left(\frac{4v^2 - (1 + v^2 - u^2)^2}{4uv} \right)^2 \left(\frac{u^2 + v^2 - 3}{2uv} \right)^2 \\ \times \left\{ \left(-2 + \frac{u^2 + v^2 - 3}{2uv} \ln \left| \frac{3 - (u+v)^2}{3 - (u-v)^2} \right| \right)^2 \right. \\ \left. + \pi^2 \left(\frac{u^2 + v^2 - 3}{2uv} \right)^2 \Theta(u + v - \sqrt{3}) \right\}. \quad (8)$$

The Gaussian case

If the non-Gaussian contribution is small, the leading order is given by the Gaussian integral. For a delta function like peak, the main contribution comes from the neighborhood of

$$u \sim v \sim k_*/k,$$

$$\Omega_{\text{GW}}^{(0)} \simeq 6\mathcal{A}_{\mathcal{R}}^2 \left(\frac{k}{k_*}\right)^2 \mathcal{T}\left(\frac{k_*}{k}, \frac{k_*}{k}\right) \Theta(2k_* - k).$$

when $k \ll k_*$,

$$\Omega_{\text{GW}}^{(0)} \propto k^2, \text{ with a peak about } \Omega_{\text{GW,peak}}^{(0)} \simeq 21.0\mathcal{A}_{\mathcal{R}}^2 \text{ at } k_p^{(0)} \sim (2/\sqrt{3})k_*.$$

The non-Gaussian case

When $\mathcal{A}_{\mathcal{R}} F_{\text{NL}}^2 \gtrsim \mathcal{O}(1)$, the contribution from the non-Gaussian part dominates the tensor power spectrum:

$$\begin{aligned}\Omega_{\text{GW}}^{(2)} &= 6\mathcal{A}_{\mathcal{R}}^3 F_{\text{NL}}^2 \left(\frac{k}{k_*}\right)^3 \Theta(3k_* - k) \\ &\quad \times \left[\int_{|1-k_*/k|}^{\min(1+k_*/k, 2k_*/k)} du \mathcal{T}\left(u, \frac{k_*}{k}\right) \right. \\ &\quad \left. + \int_{\max(0, |k_*/k-1|)}^{\min(2k_*/k, 1+k_*/k)} dv \mathcal{T}\left(\frac{k_*}{k}, v\right) \right]. \\ \Omega_{\text{GW}}^{(4)} &= 6\mathcal{A}_{\mathcal{R}}^4 F_{\text{NL}}^4 \left(\frac{k}{k_*}\right)^4 \int_0^\infty dv \int_{|1-v|}^{1+v} du \\ &\quad \times \mathcal{T}(u, v) \Theta(2k_* - vk) \Theta(2k_* - uk).\end{aligned}$$

The scaling law when $k \ll k_*$:

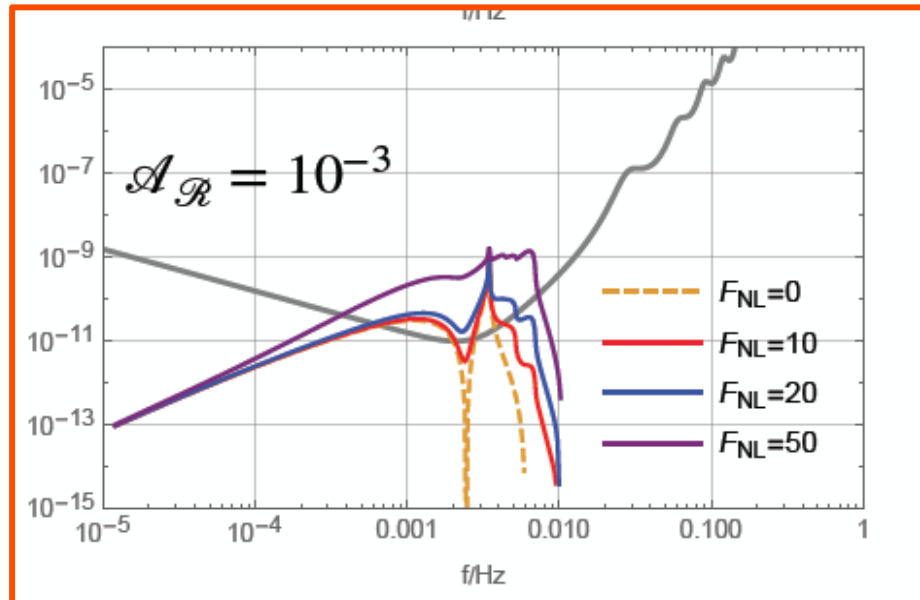
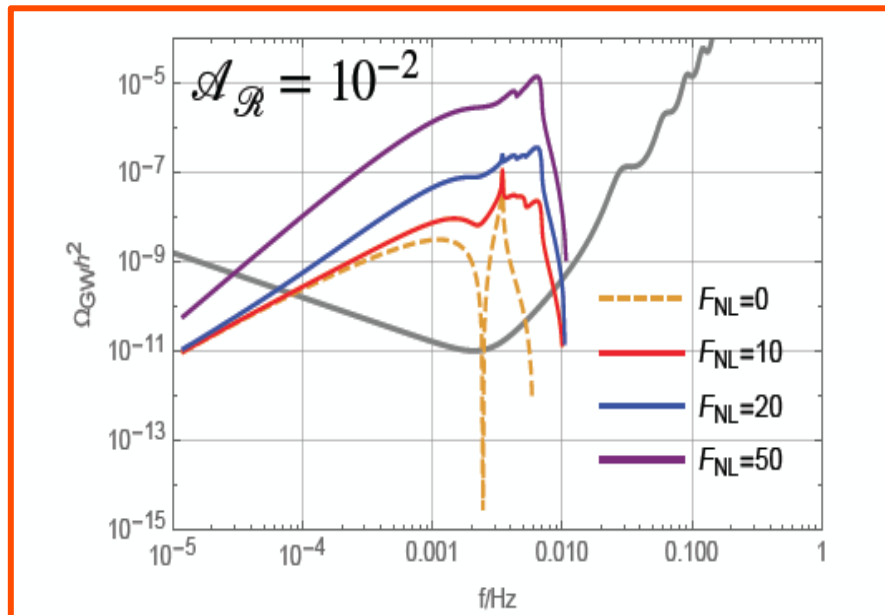
$$\Omega_{\text{GW}}^{(4)} \simeq 89.6 (\mathcal{A}_{\mathcal{R}} F_{\text{NL}})^4 \left(\frac{k}{k_*} \right)^3 \left[1 + \dots \right] \Theta(4k_* - k).$$

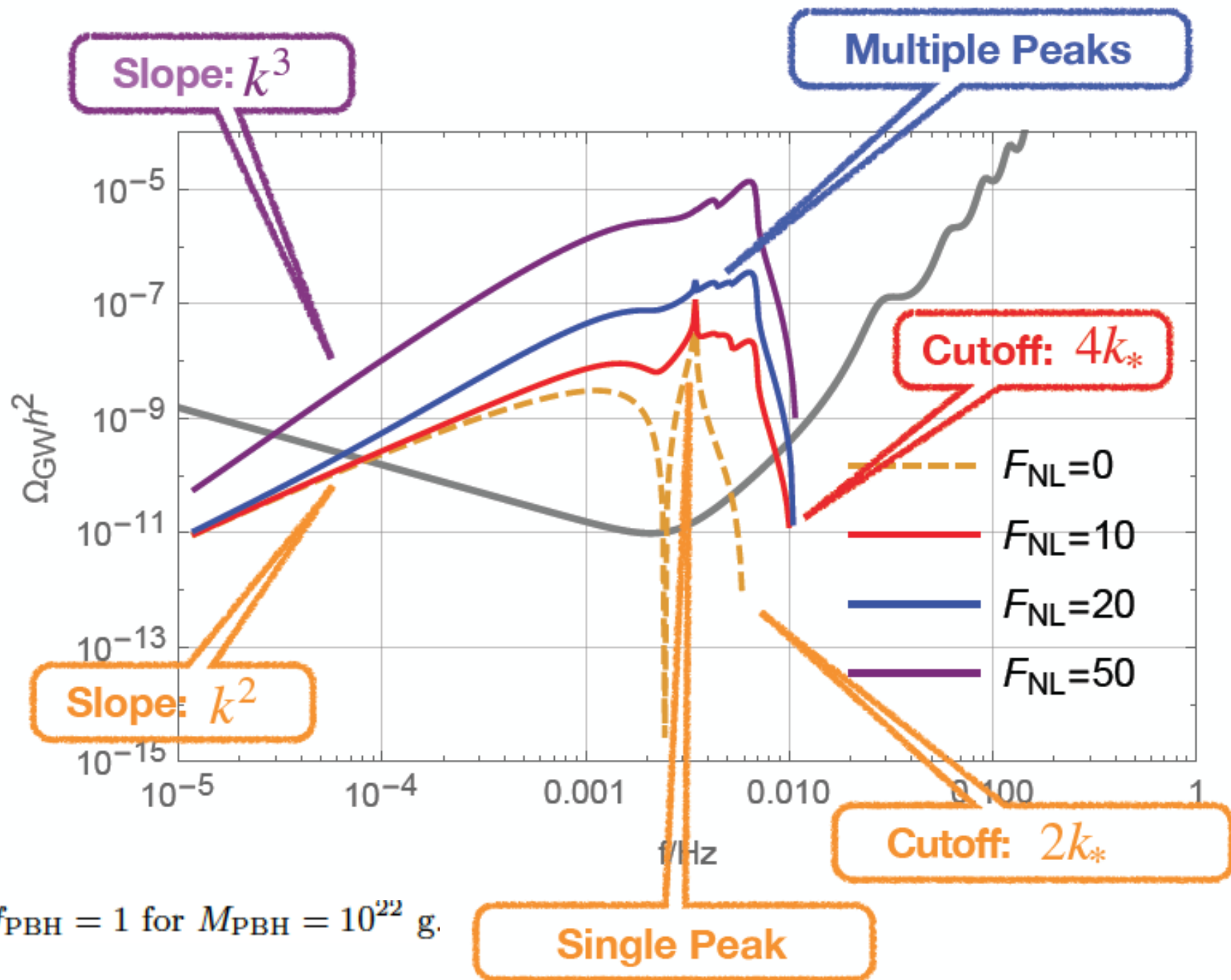
The GWs induced by non-Gaussian scalar perturbations are easily distinguishable if they dominates:

$$\frac{\Omega_{\text{GW}}^{(4)}}{\Omega_{\text{GW}}^{(0)}} \sim 4.3 \mathcal{A}_{\mathcal{R}}^2 F_{\text{NL}}^4.$$

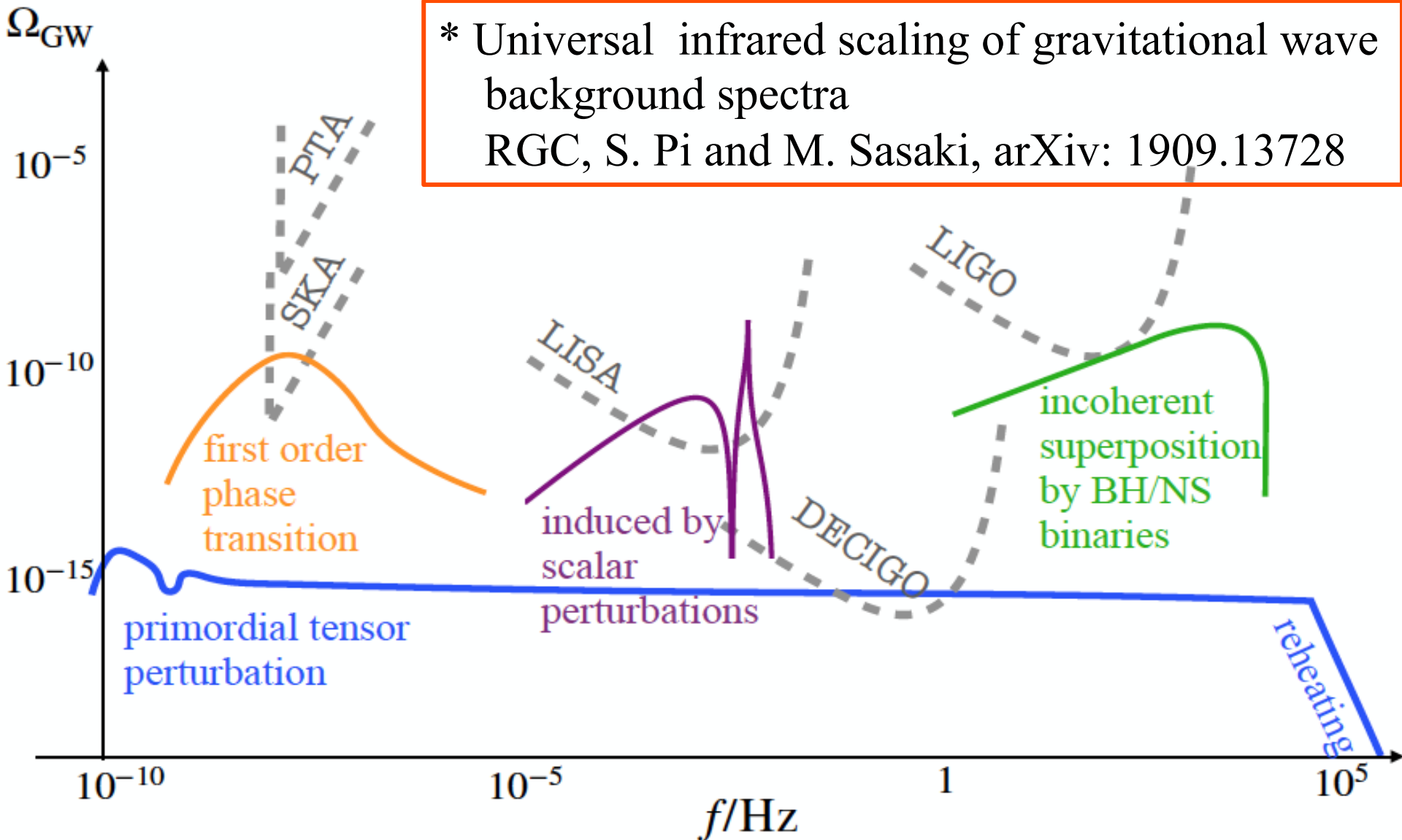
Clearly if this is larger than one, we can see the effect of the non-Gaussianity. If $F_{\text{NL}} \gtrsim 10$ will be enough for a peak amplitude of $\mathcal{A}_{\mathcal{R}} \sim 10^{-2}$.

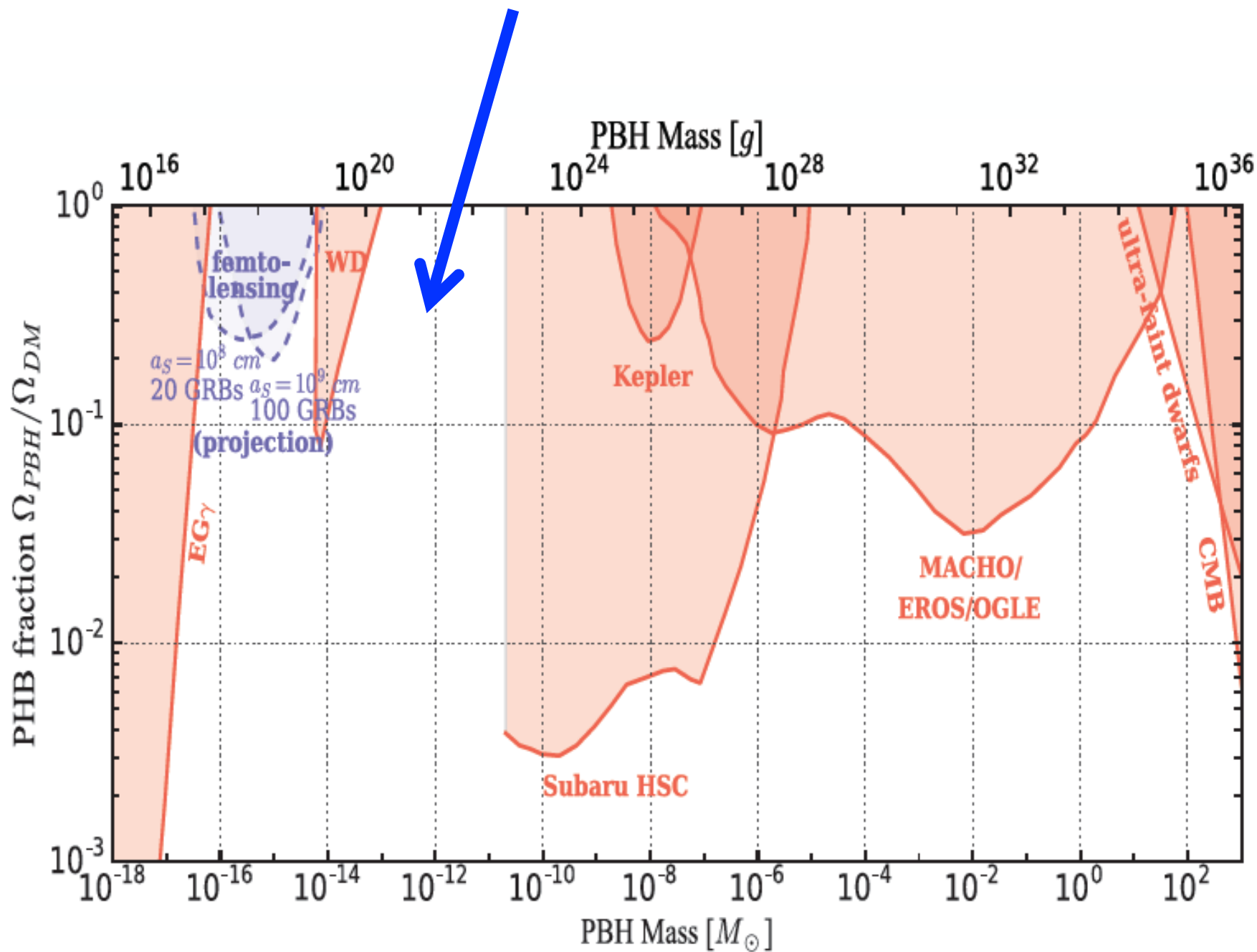
As a result, if $\mathcal{A}_{\mathcal{R}}^2 F_{\text{NL}}^4 \gtrsim 1$, then $\Omega_{\text{GW}}^{(4)}$ and $\Omega_{\text{GW}}^{(2)}$ are larger than $\Omega_{\text{GW}}^{(0)}$, we find a series of peaks from the resonances around $k_p^{(0)} \sim (2/\sqrt{3})k_*$, $k_p^{(2)} \sim \sqrt{3}k_*$, and $k_p^{(4)} \sim (4/\sqrt{3})k_*$, which can be recognized as a smoking gun of the primordial non-Gaussianity at scale k_* .





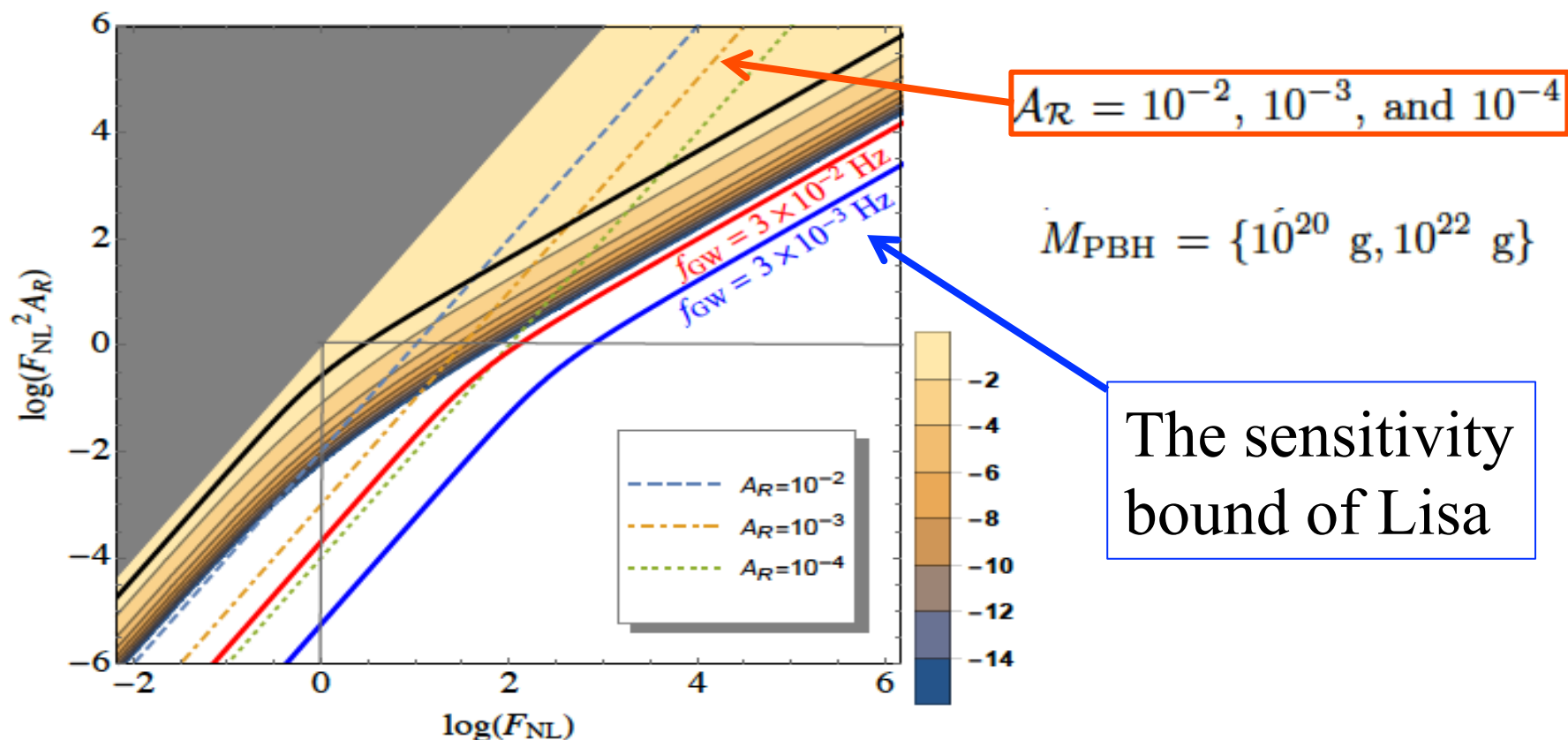
The stochastic GWs background:



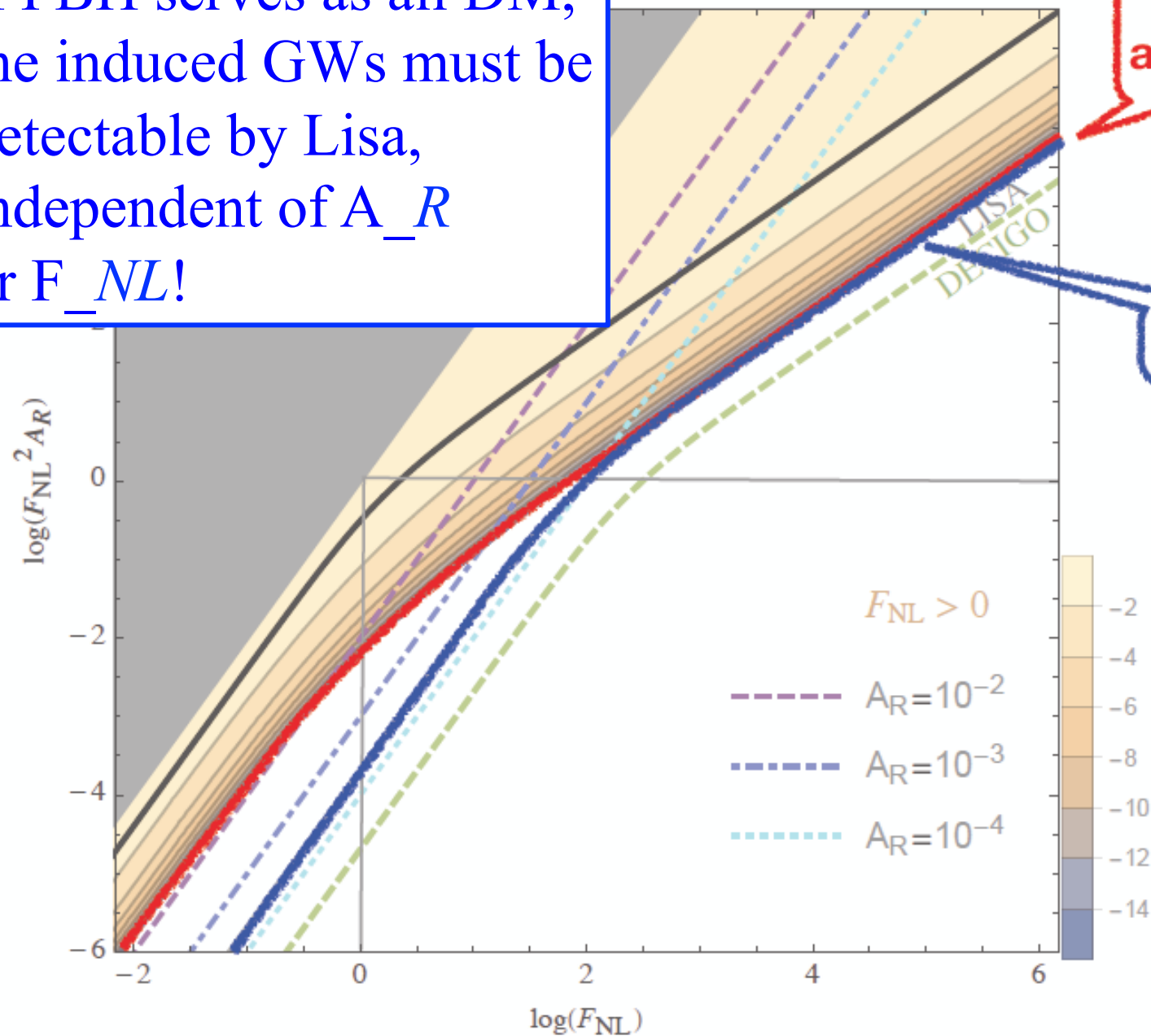


PBH mass fraction:

$$\beta \lesssim 8.6 \times 10^{-15} \left(\frac{3 \times 10^{-3} \text{ Hz}}{f_{\text{GW}}} \right) \cdot \quad f_{\text{GW}} \sim 3 \text{ Hz} \left(\frac{M_{\text{PBH}}}{10^{16} \text{ g}} \right)^{-1/2},$$



If PBH serves as all DM,
the induced GWs must be
detectable by Lisa,
independent of A_R
or F_{NL} !



Conclusions

- Induced GW is a very important source of SGWB.
- GWs induced by non-Gaussian scalar perturbations: k^3 -slope, multiple peaks, cutoff.
- If PBHs can serve as all the DM, induced GWs must be detectable by LISA, no matter how small $\mathcal{A}_{\mathcal{R}}$ or f_{NL} is.

3) Resonant multiple peaks in the induced GWs

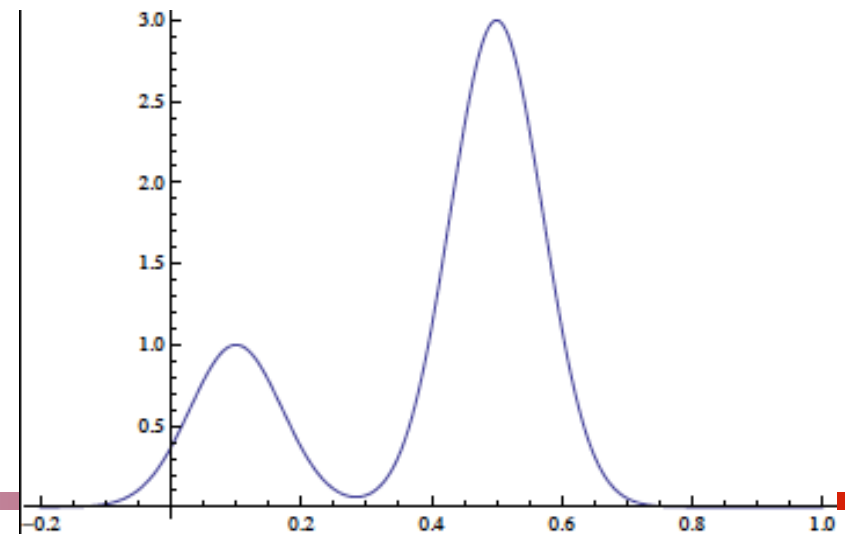
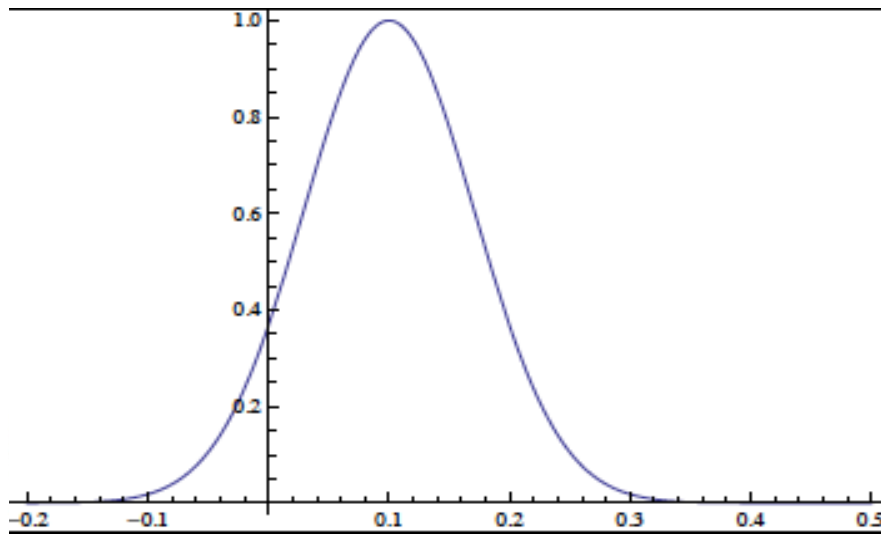
RGC, S. Pi, S.J. Wang and X.Y. Yang, arXiv. 1901.10152
(JCAP 05 (2019) 013)

If Curvature perturbation:

Something New?

$$P_{\phi}(k) = \frac{A_{\phi}}{(2\pi)^{3/2} 2\sigma k_*^2} e^{-\frac{(k-k_*)^2}{2\sigma^2}}.$$

$$\tilde{P}_{\phi}(k) = \sum_{i=1}^n \frac{A_{\phi i} k_{*i}}{\sqrt{2\pi}\sigma} e^{-\frac{(k-k_{*i})^2}{2\sigma^2}},$$



Gaussian & non-Gaussian perturbations

1) The case with multiple delta peaks

$$\tilde{P}_\phi(k) = \sum_{i=1}^n A_{\phi i} \delta \left(\ln \frac{k}{k_{*i}} \right).$$

2) The case with multiple sigma peaks

$$\tilde{P}_\phi(k) = \sum_{i=1}^n \frac{A_{\phi i} k_{*i}}{\sqrt{2\pi}\sigma} e^{-\frac{(k-k_{*i})^2}{2\sigma^2}},$$

The energy density spectrum:

$$\delta\text{-peak : } \tilde{P}_\phi(k) = \sum_{i=1}^n A_{\phi i} \delta(\ln \frac{k}{k_{*i}});$$

$$\Omega_{\text{GW}}^{n,\delta}(k) = \frac{1}{24} \sum_{i,j=1}^n A_{\phi i} A_{\phi j} \frac{k_{*i} k_{*j}}{k^2} \mathcal{T} \left(\frac{k_{*i}}{k}, \frac{k_{*j}}{k} \right) \mathcal{F}^\delta(k; k_{*i}, k_{*j});$$

$$\sigma\text{-peak : } \tilde{P}_\phi(k) = \sum_{i=1}^n \frac{A_{\phi i} k_{*i}}{\sqrt{2\pi}\sigma} e^{-\frac{(k-k_{*i})^2}{2\sigma^2}};$$

$$\Omega_{\text{GW}}^{n,\sigma}(k) = \frac{1}{24} \sum_{i,j=1}^n A_{\phi i} A_{\phi j} \frac{k_{*i} k_{*j}}{k^2} \mathcal{T} \left(\frac{k_{*i}}{k}, \frac{k_{*j}}{k} \right) \mathcal{F}^\sigma(k; k_{*i}, k_{*j}),$$

Abstract. We identify analytically a multiple-peak structure in the energy-density spectrum of induced gravitational waves (GWs) generated at second-order from a primordial scalar perturbations also with multiple (n) peaks at small scales k_{*i} . The energy-density spectrum of induced GWs exhibits at most C_{n+1}^2 and at least n peaks at wave-vectors $k_{ij} \equiv (k_{*i} + k_{*j})/\sqrt{3}$ due to resonant amplification, and, under the narrow-width approximation, it contains an universal factor that can be interpreted as a result of momentum conservation. We also extend these discussions to the case of non-Gaussian perturbations.

The case with triple peaks

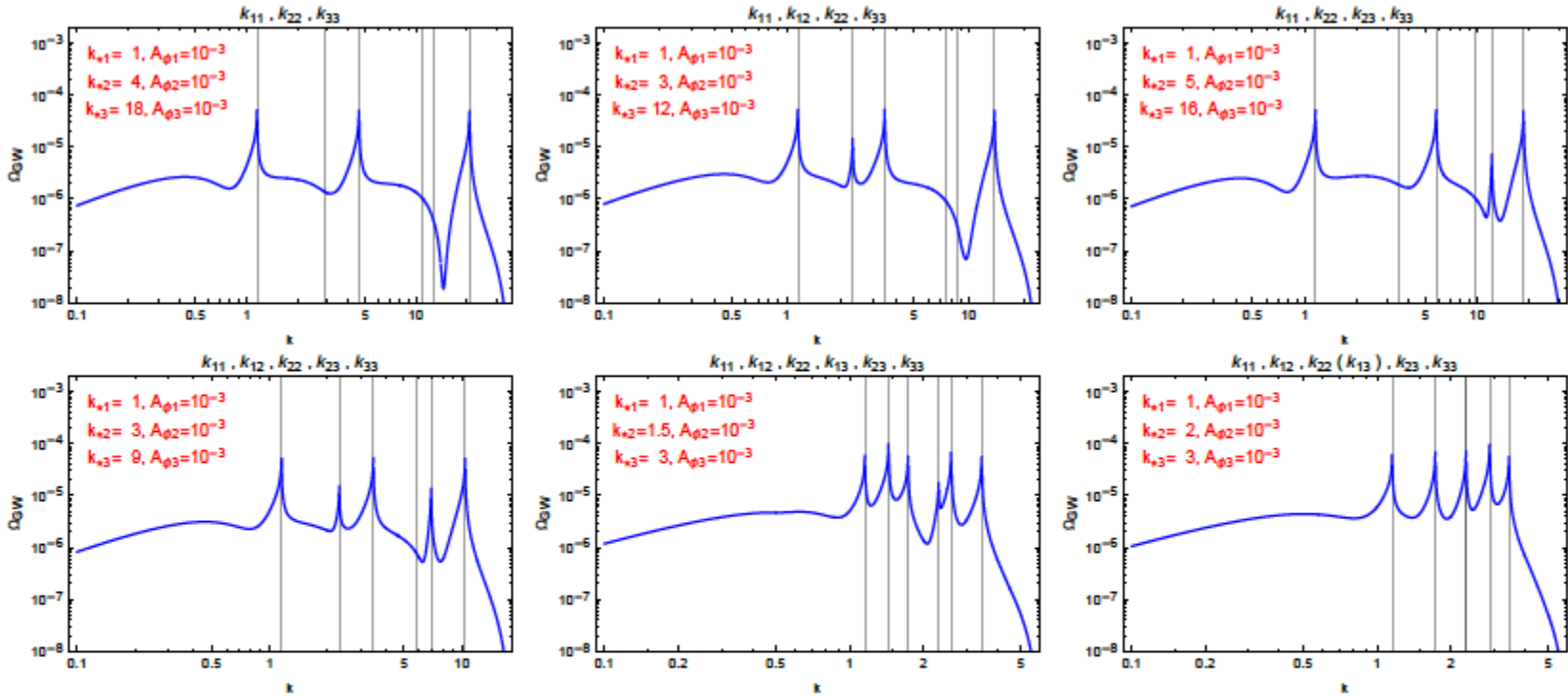
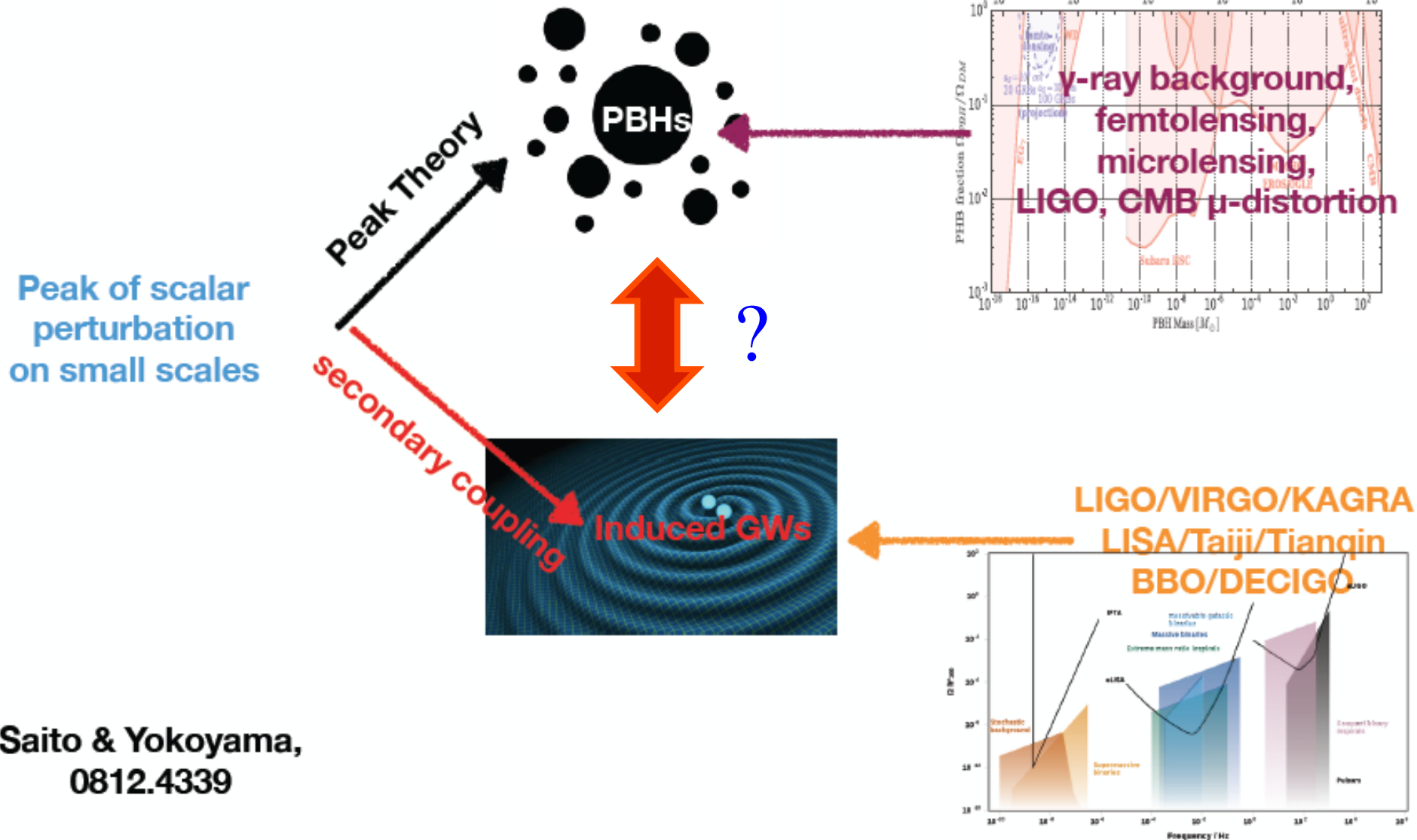


Figure 3. The energy-density spectrum of induced GWs from scalar perturbations with triple δ -peaks at $k_{*1} < k_{*2} < k_{*3}$. The gray lines denote the positions of those would-be peaks at k_{ij} with $i, j = 1, 2, 3$.

Induced GWs

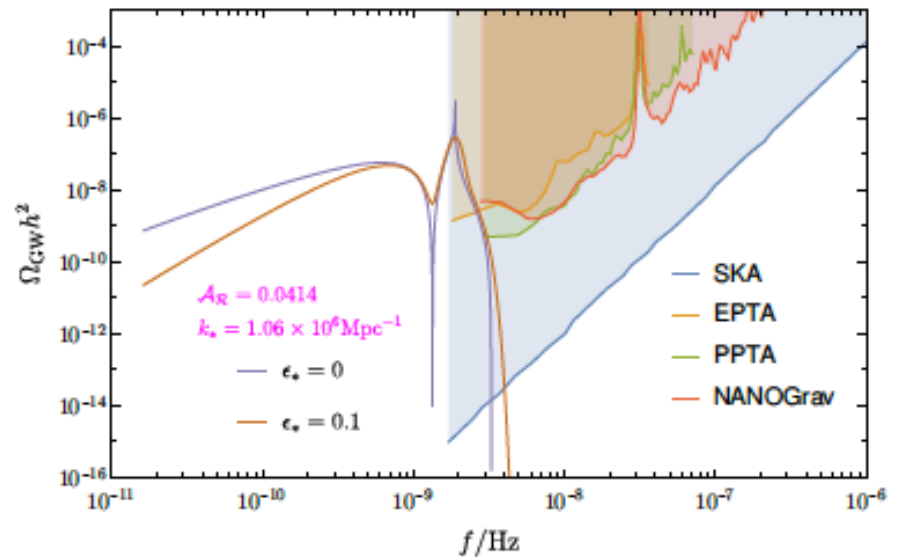
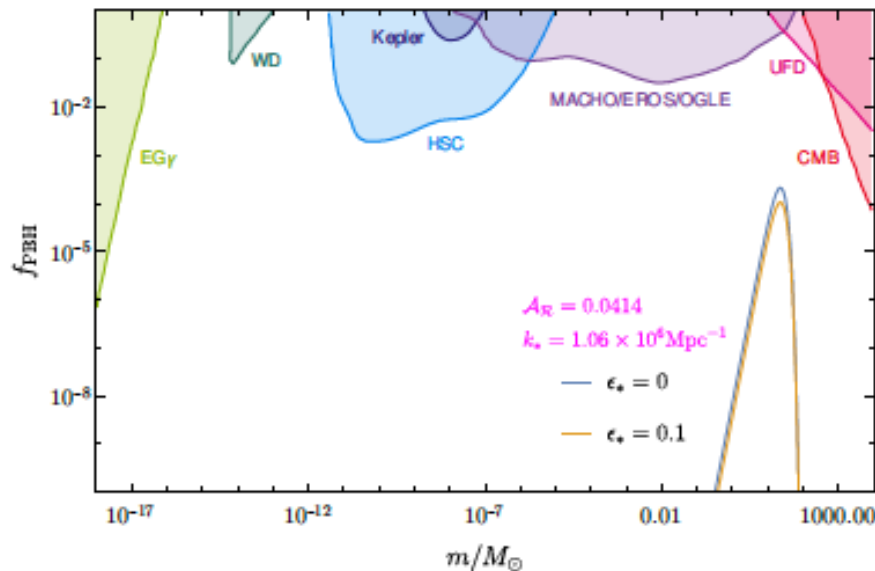


4) PTA Constraints on the induced gravitational waves

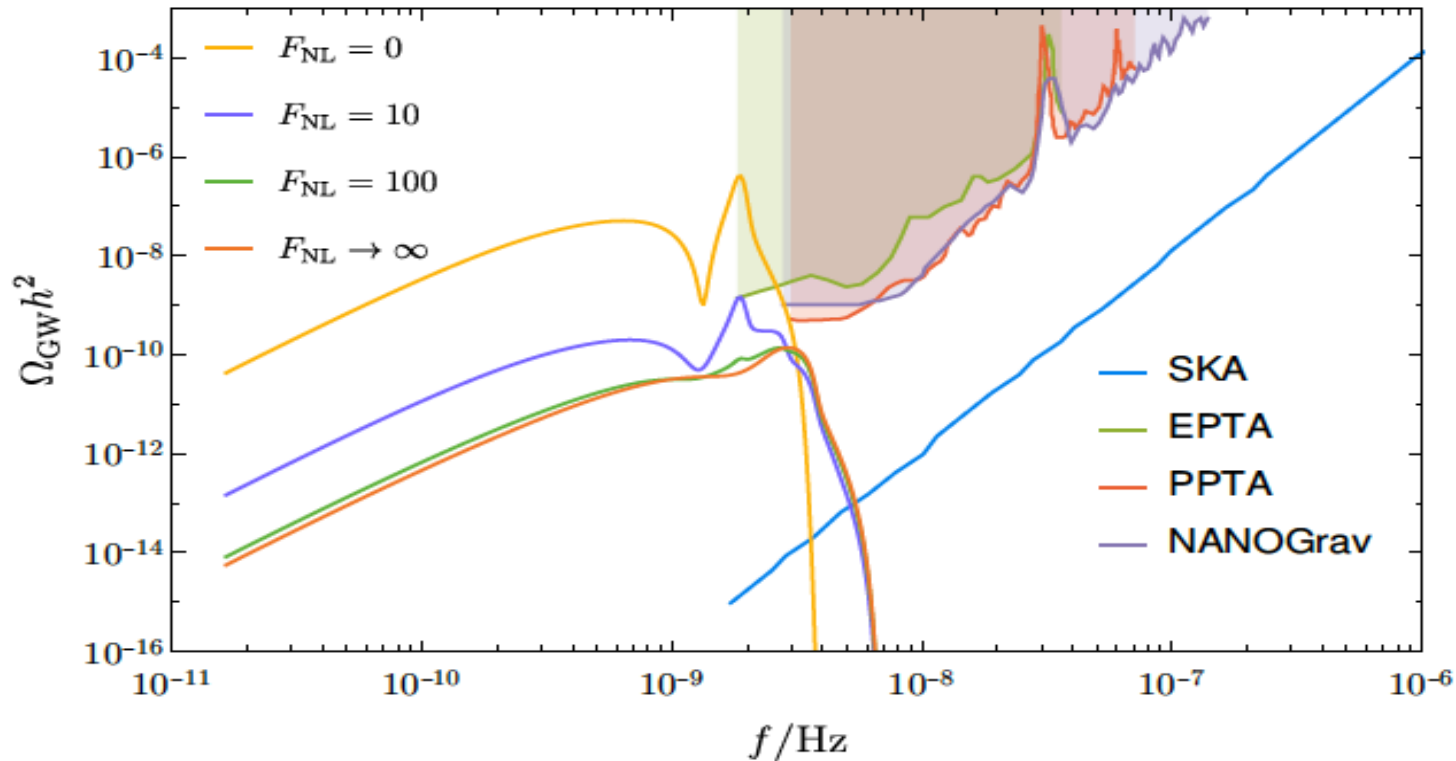
We consider the case where the power spectrum of curvature Perturbations has a narrow Gaussian peak at some scale k_* with width $\sigma_* \ll k_*$:

$$\mathcal{P}_{\mathcal{R}}(k) = \frac{\mathcal{A}_{\mathcal{R}} k_*}{\sqrt{2\pi} \sigma_*} \exp \left[-\frac{(k - k_*)^2}{2\sigma_*^2} \right].$$

rule out?



Non-Gaussian effect?



R.G. Cai, S. Pi, S.J. Wang and X.Y. Yang:

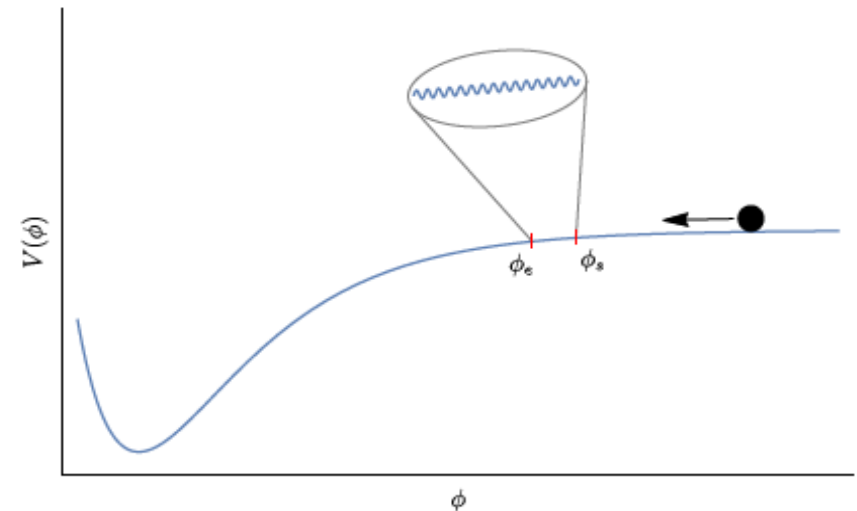
arXiv: 1907.06372, JCAP 1910 (2019) 059

5) Primordial black holes and gravitational waves from parametric amplification of curvature perturbations

RG Cai, et al, arXiv: 1912.10437

Model:

$$\begin{aligned} V(\phi) &= \bar{V}(\phi) + \delta V(\phi) \\ &= \bar{V}(\phi) + \xi \cos(\phi/\phi_*) \Theta(\phi; \phi_s, \phi_e), \end{aligned}$$



The Mukhanov-Sasaki equation:

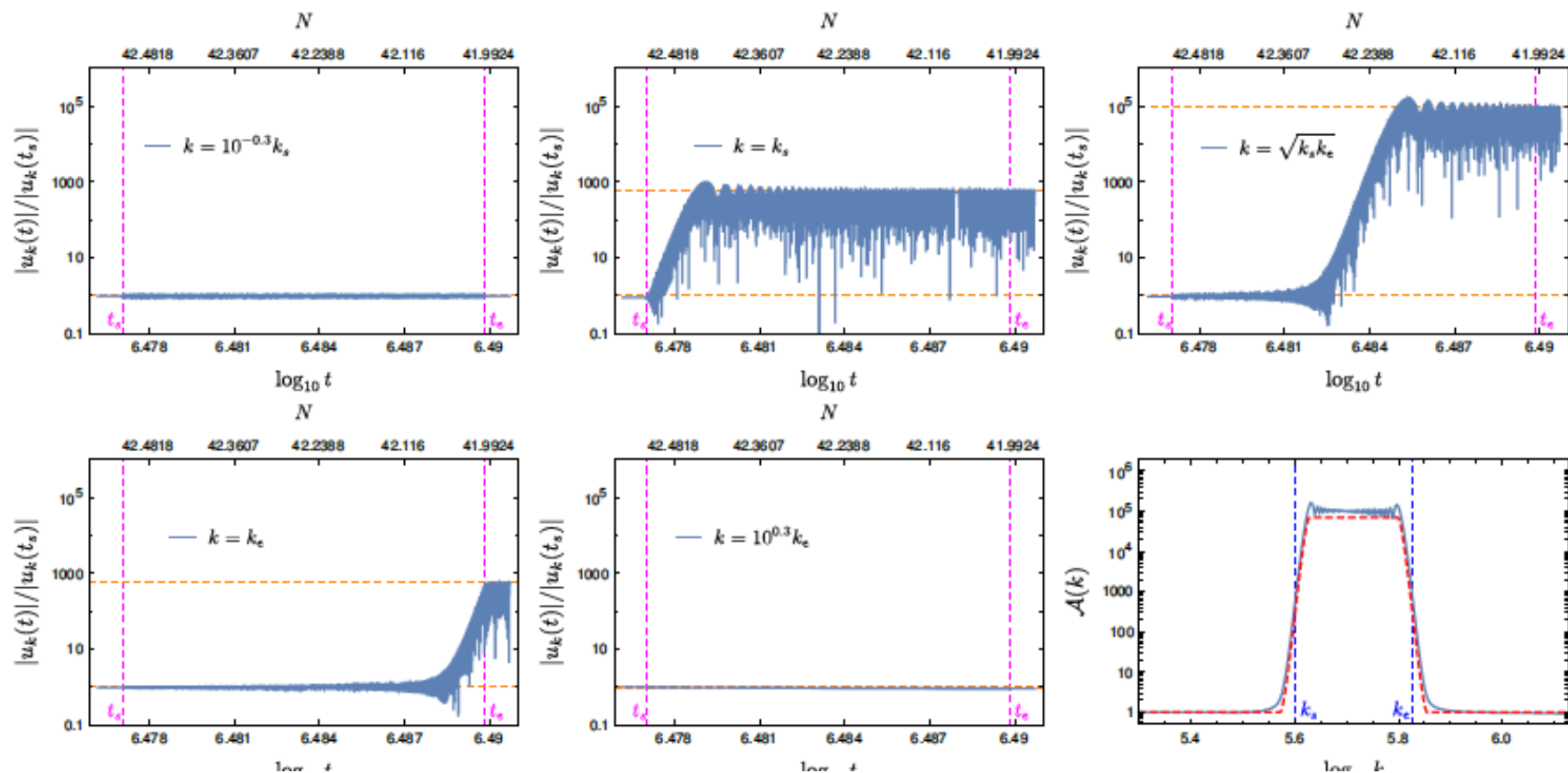
$$\ddot{u}_k + H\dot{u}_k + \left[\frac{k^2}{a^2} - \frac{\xi}{\phi_*^2} \cos\left(\frac{\phi}{\phi_*}\right) \right] u_k = 0.$$

For the small scales ($k \gg a H$)

$$\frac{d^2 u_k}{dx^2} + [A_k(x) - 2q \cos 2x] u_k = 0,$$

where $k_* = \frac{\dot{\phi}_s}{2\phi_*}$, $x = k_* t + \frac{\phi_s - \dot{\phi}_s t_s}{2\phi_*}$, $A_k(x) = \frac{k^2}{k_*^2 a^2}$, $q = 2\xi/\dot{\phi}_s^2$.

Some examples:



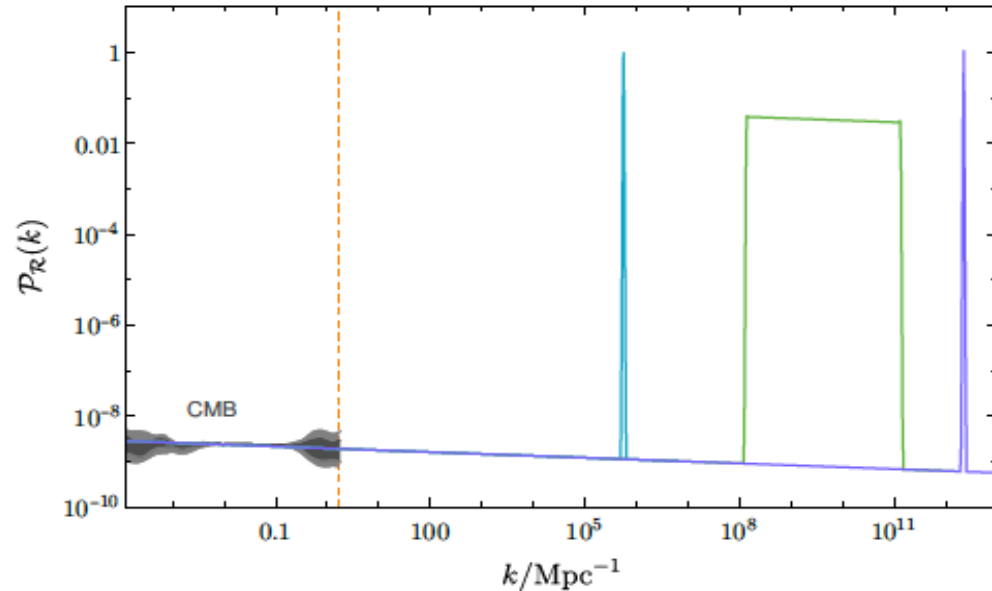
An example: the Starobinsky potential

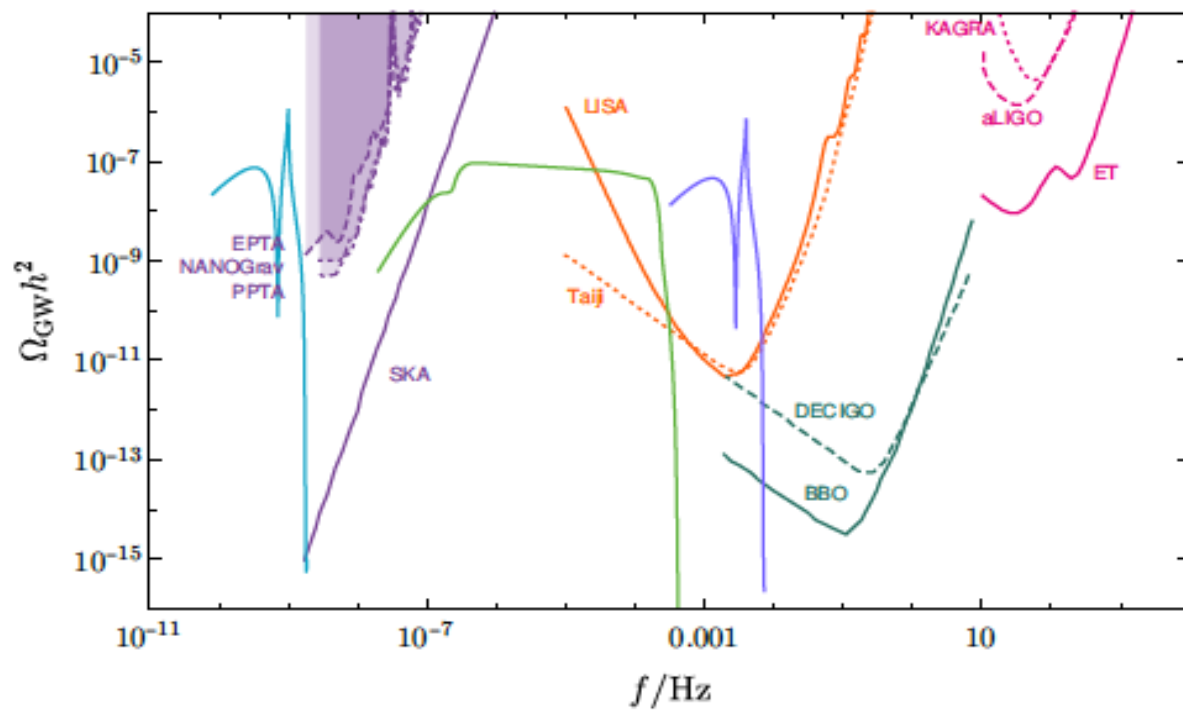
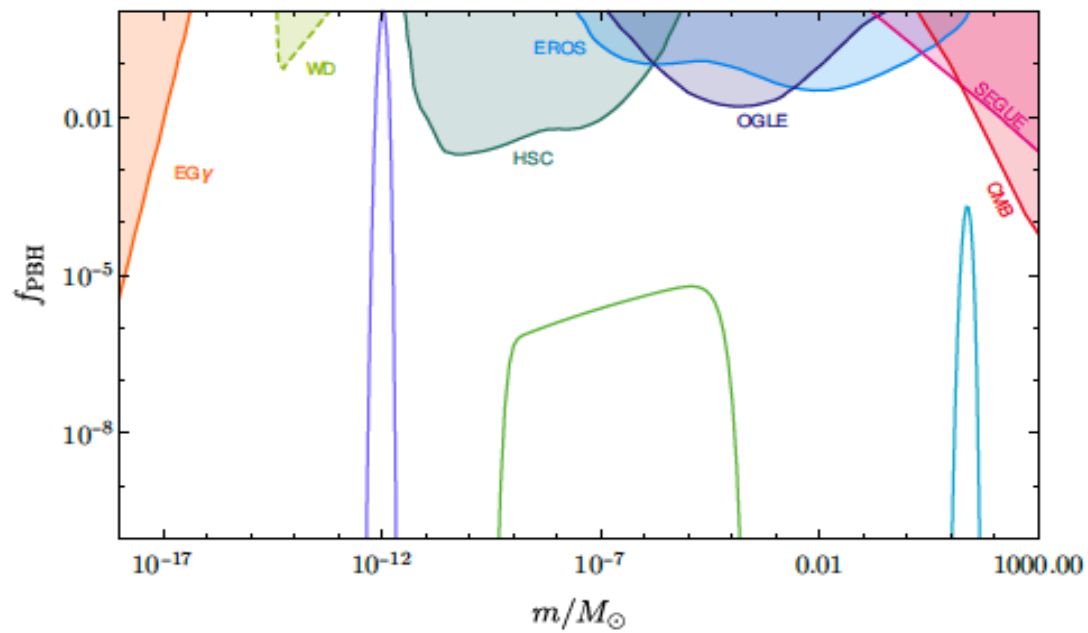
$$\bar{V}(\phi) = \Lambda^4 \left[1 - \exp(-\sqrt{2/3}\phi) \right]^2, \quad (10)$$

where $\Lambda = 0.0032$ is set to match the CMB experiments and we set the e-folding number when the pivot scale leaves horizon as $N_{\text{CMB}} = 60$ [47].

Set	ξ	ϕ_*	ϕ_s	ϕ_e
1	1.7×10^{-15}	8×10^{-6}	4.9878	4.9731
2	1.59×10^{-15}	7.95×10^{-6}	4.9872	4.9837
3	2.08×10^{-15}	9.37×10^{-6}	4.8219	4.5725
4	3.9×10^{-15}	1.24×10^{-5}	4.461	4.456

TABLE I. The parameter sets we choose as examples.





Thanks!